Rec'd PC TO 19 OCT 2005

Substitute for Form U.S. DEPARTMENT OF COMM PTO-1390	ATTORNEY'S DOCKET NUMBER							
TRANSMITTAL LETTER T	031309-010							
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)						
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	10/534,879 PRIORITY DATE CLAIMED						
PCT/EP2003/012703	13 November 2003 (13.11.2003)	14 November 2002 (14.11.2002)						
TITLE OF INVENTION	To troverneer zees (ver. masse)							
PARTIALLY QUATERNISED, AMINO-FUNCTIONAL ORGANOPOLYSILOXANES AND THEIR USE IN AQUEOUS SYSTEMS								
APPLICANT(S) FOR DO/EO/US								
SANDNER, Bernhard; STANICA, Cristina; HAYESSEN, Rainer; and DUSCHEK, Gunther								
Applicant herewith submits to the United State	es Designated/Elected Office (DO/EO/US) the f	following items and other information:						
	9000 C C C C C C C C C C C C C C C C C C							
2. X This is a SECOND or SUBSEQUEN	T submission of items concerning a filing under	35 U.S.C. 371.						
3. This is an express request to begin r (6), (9) and (22) indicated below.								
4. The US has been elected by the exp	The second section of the second section (Asset Section 1997)							
5. A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. is attached hereto (required	 a.							
	—							
	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))							
_	_							
	a. are attached hereto (required only if not communicated by the International Bureau).							
1	 b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. 							
<u> </u>								
	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
1	An English language translation of the annexes of the International Preliminary Examination Report under							
Items 11 to 21 below concern docume								
An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
1	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. A FIRST preliminary amendment.								
	A SECOND or SUBSEQUENT preliminary amendment.							
15. A substitute specification.	•							
		ter.2 and 37 C.F.R. 1.821 - 1.825.						
	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4).							
The state of the s	age translation of the international application u							
20. X Other items or information: Notific Priority Document								

		10/534,879	, see 37 CFR 1.5	CT/EP2003/01270		031309-0		
21.		Applicant(s) requests that the published application include the following assignment information:				CALCULATIONS	PTO USE ONL	
	- - -							
22.	- I ⊠ TI	ne following fees are s						
ī	Basic	Filing Fee (1631)				\$ 0.00		
?	Surcha month	Surcharge of \$130.00 (1617) for furnishing the oath or declaration later than nonths from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 130.00				
_		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
1	Total C	Claims	-20 =	0	× \$50.00 (1615)	\$ 0.00		
1	ndepe	endent Claims	-3 =	0	× \$200.00 (1614)	\$ 0.00		
1	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 (1616)							
E	Exami	nation Fee	i managaran da		⁺ \$200.00 (1633)	\$ 0.00		
-	Search				⁺ \$500.00 (1632)	\$ 0.00		
1	App. Size Fee (add \$250.00 for each add'l 50 sheets exceeding 100 sheets)						_	
					CALCULATIONS =	\$ 130.00		
[pplicant daims small or re reduced by 1/2.	entity status. See 37 CFR	1.27. The fees indicate	ed above +	\$ 0.00		
-					SUBTOTAL =	\$ 130.00		
			(1618) for furnishing the E med priority date (37 CFR		nan 20 30	\$ 0.00		
	TOTAL NATIONAL FEE					\$ 130.00		
F	Fee fo	r recording the enclos	ed assignment (37 CFR 1	.21(h)). The assignment	t must be			
	accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (8021) per property + OS MKAYPAGH 00000106 10534879 TOTAL FEES ENCLOSED					\$ 130.00		
617	MATE		130.00 OP	TOTAL.		Amount to be refunded :	-	
á	а. 🔲	A check in the amou	unt of	to cover the above fees	is enclosed.	charged :		
t	b. 🗖		Deposit Account No02 is sheet is enclosed.	<u>-4800</u> in the amount o	of	to cover the above	e fees. A	
(c. The Commissioner is hereby authorized to charge any additional fees which may be required be perfectly be a copy of this sheet is enclosed.					red, or credit any ov	erpayment to	
(d. 🗵	Charge \$130.00 to credit card. Form PTO-2038 is attached.						
!	NOTE or (b))	: Where an appropri must be filed and g	ate time limit under 37 C ranted to restore the app	CFR 1.494 or 1.495 has dication to pending sta	not been met, a pe itus.	tition to revive (37	CFR 1.137(a)	
	SEND ALL CORRESPONDENCE TO:			allean C	ROLLED ENS	2886 Por		
1	Inclu	anan Ingersoll P0 ding attorneys fro Box 1404	m Burns, Doane, Sw	ecker & Mathis	SIGNATURE		•	
		andria, Virginia 22	313-1404			Patrick C. Kean	e	
		836-6620			NAME			
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((100)				32,858	Octob	er 19, 2005	





United States Patent and Trademark Office

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Alexandria, Vingnia 22313-1450
www.uspto.gov

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. Bernhard Sandner 031309-010 10/534,879 INTERNATIONAL APPLICATION NO.

21839 **BUCHANAN INGERSOLL PC** (INCLUDING BURNS, DOANE, SWECKER & MATHIS) POST OFFICE BOX 1404 **ALEXANDRIA, VA 22313-1404**

PCT/EP03/12703 I.A. FILING DATE PRIORITY DATE 11/13/2003 11/14/2002

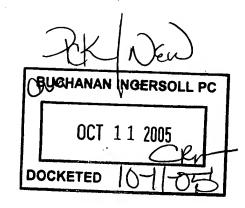
CONFIRMATION NO. 8844 371 FORMALITIES LETTER OC000000017197511*

Date Mailed: 10/07/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/12/2005
- English Translation of the IA filed on 05/12/2005
- Copy of the International Search Report filed on 05/12/2005
- Copy of IPE Report filed on 05/12/2005
- Preliminary Amendments filed on 05/12/2005
- Information Disclosure Statements filed on 05/12/2005
- U.S. Basic National Fees filed on 05/12/2005
- Priority Documents filed on 05/12/2005
- Specification filed on 05/12/2005
- Claims filed on 05/12/2005
- Abstracts filed on 05/12/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

• To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/534,879	PCT/EP03/12703	031309-010

FORM PCT/DO/EO/905 (371 Formalities Notice)